

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application

Inventor: Igor Y. Botvinnik et al.  
Appl. No.: New Application  
Filed: March 2, 2004  
Title: Electro-Kinetic Air Transporter and Conditioner  
Devices Including Pin-Ring Electrode Configurations  
with Driver Electrode

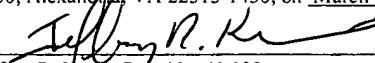
**PATENT APPLICATION**

Art Unit: New Application  
Examiner: New Application

**Customer No. 23910**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on March 2, 2004.

  
\_\_\_\_\_  
Jeffrey R. Kurin, Reg. No. 41,132  
Signature Date: March 2, 2004

(Attorney Signature)

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R. §1.98(a)(2), as still required.
- The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed.

***This statement should be considered because:***

- 37 CFR §1.97(b).** This statement qualifies under 37 CFR §1.97, subsection (b) because:
  - (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d);

-- OR --

- (2) It is being filed within 3 months of entry of a national stage;

-- OR --

- (3) It is being filed before the mailing date of the first Office Action on the merits,

-- OR --

- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 CFR §1.114.

— **37 CFR §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 CFR §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- (1) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e).

-- OR --

- (2) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).

— **37 CFR §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 CFR §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;

-- AND --

- (2) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e);

-- AND --

- (3) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).

X **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: March 2, 2004

By: Jeffrey R. Kurin  
Jeffrey R. Kurin, Reg. No. 41,132

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**FORM PTO-1449**  
*(Substitute)*

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket Number  
**SHPR-01414US2**

Serial No.  
**New Application**

**INFORMATION DISCLOSURE CITATION  
BY APPLICANT**

Applicant  
**Igor Y. Botvinnik et al.**

Filing Date  
**March 2, 204**

Group Art Unit  
**New Application**

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**FORM PTO-1449**  
*(Substitute)*

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket Number  
**SHPR-01414US2**

Serial No.  
**New Application**

**INFORMATION DISCLOSURE CITATION  
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Applicant

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Filing Date

**March 2, 204**

Group Art Unit

**New Application**

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FORM PTO-1449 <i>(Substitute)</i>		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number SHPR-01414US2	Serial No. New Application
<b>INFORMATION DISCLOSURE CITATION BY APPLICANT</b>		Applicant		Igor Y. Botvinnik et al.	
		Filing Date March 2, 204		Group Art Unit New Application	

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#### PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	Inventor	Petition to Expunge	
					Yes	No
1.		10/278,193	10/21/2002	Reeves et al.		X
2.		10/405,193	4/1/2003	Lee et al.		X

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Examiner Initial		Document Number	Publication Date	Country	Translation	
					Yes	No
3.		WO00/10713 A1	3/2/2000	International	✓	
4.		WO01/47803 A1	7/5/2001	International	✓	
5.		WO01/48781 A1	7/5/2001	International	✓	
6.		WO01/64349 A1	9/7/2001	International	✓	

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Igor Y. Botvinnik et al.

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Group Art Unit

New Application

7.	WO01/85348 A2	11/15/2001	International	✓	
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22.	"Zenion Elf Device," drawing, prior art.
23.	Electrical schematic and promotional material available from Zenion Industries, 7 pages, August 1990.
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25.	Promotional material available from Zenion Industries for the Plasma-Tron, 2 pages, August 1990.
26.	LENTEK Sila™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999, 13 pages.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NonAsteriskedItems: Copies not submitted because they were submitted in prior application , filed , and relied upon under 35 USC §120.